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# **EUROPEAN PATENT APPLICATION**

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71 Applicant: SANDVIK AKTIEBOLAG

S-811 81 Sandviken 1(SE)

Inventor: Chatfield, ChristopherVedstigen 33S-147 52 Tumba(SE)

Inventor: Lindström, Jan Hökmossevägen 28 S-126 38 Hägersten(SE) Inventor: Sjöstrand, Mats Stavangergatan 42 S-164 33 Kista(SE) Inventor: Collin, Marianne Kansiersvägen 28 S-122 36 Enskede(SE)

Representative: Östlund, Alf Olof Anders et al Sandvik AB Patent Department S-811 81 Sandviken(SE)

- Coated cutting insert.
- The present invention relates to a body coated with at least one double layer Al<sub>2</sub>O<sub>3</sub>-TiC. Because the Al<sub>2</sub>O<sub>3</sub>-layer in contact with the TiC-layer consists of kappa-Al<sub>2</sub>O<sub>3</sub> or theta-Al<sub>2</sub>O<sub>3</sub> an improved adherence has been obtained.

A further improvement of the properties is obtained if the body after the coating is heat freated for 0.3-10 hours at 900-1100 °C in protecting gas atmosphere at which initially nucleated kappa- or theta-Al<sub>2</sub>O<sub>3</sub> is transformed to fine-grained alpha-Al<sub>2</sub>O<sub>3</sub>.

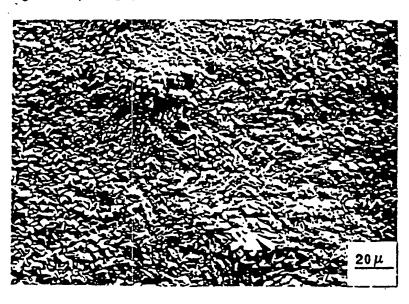


FIG 2

#### Coated cutting insert

The present invention relates to a coated cutting insert for chipforming machining.

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Chemical Vapor Deposition of alumina on cutting tools has been an industrial praxis for more than 15 years. The wear properties of  $Al_2O_3$  as well as of TiC and TiN have been discussed extensively in the literature.

The CVD technique has also been used to produce coatings of other metal oxides, carbides and nitrides with the metal either selected from the transition metals of the groups IVB, VB and VIB of the Periodic Table or from silicon, boron and aluminium. Many of these compounds have found practical applications as wear resistant or protective coatings, but few have received as much attention as TiC, TiN and Al<sub>2</sub>O<sub>3</sub>.

Initially coated tools were intended for turning applications, but today tools designed for milling as well as drilling applications, are coated. Improvements of the bonding to the substrate and between different coating materials have resulted in a plurality of coating combinations with double-, triple- or multi-layer structures.

The reaction mechanisms occurring during the CVD of  $Al_2O_3$  have been analysed, but little has been mentioned about the stability and microstructure of the deposited  $Al_2O_3$  phases and how the formation of these phases depends on the deposition process.

Al<sub>2</sub>O<sub>3</sub> crystallizes in several different phases of which the alpha-structure (corundum) is the thermodynamically stable phase at typical deposition temperatures. The metastable kappa-phase is the second most commonly occurring modification in CVD-Al<sub>2</sub>O<sub>3</sub>. Other infrequently occurring types are theta-, gamma- and delta-Al<sub>2</sub>O<sub>3</sub>.

In commercial tools  $Al_2O_3$  is always applied on TiC-coated cemented carbide (see e.g. SE 357 984) and therefore the interface reactions on the TiC-surface are of particular importance. (With TiC-layer is also understood those layers having the formula  $TiC_xN_yO_z$  in which C in TiC is completely or partly substituted by oxygen and/or nitrogen. In the system  $TiC_xN_yO_z$  there is 100 % miscibility. There can be one or more layers of this kind. Similar relationships also exist within other systems e.g. Zr-C-N-O.)

The purpose of the present invention has been to obtain such an  $Al_2O_3$ -layer with the correct crystallography, microstructure and morphology and under nucleation conditions such that the desired  $Al_2O_3$ -phases will be stabilized.

A typical surface structure of a CVD-Al $_2$ O $_3$  coating contains coarse-grained Islands of alpha-Al $_2$ O $_3$ , the first Al $_2$ O $_3$  phase to nucleate, surrounded by much more fine-grained kappa-Al $_2$ O $_3$ -areas. When greater amounts of such alpha-Al $_2$ O $_3$  exist the individual islands join together. The ratio coarse-grained/fine-grained areas can vary over broad intervals.

Also the alpha/kappa ratio measured by X-ray diffraction will vary over a wide range. A higher ratio is obtained at long coating times and after further heat treatment. After about 3 hours' heat treatment at 1000 °C essentially all the thermodynamically metastable kappa-Al<sub>2</sub>O<sub>3</sub> is transformed to stable alpha-Al<sub>2</sub>O<sub>3</sub>. It is important to note that the transformation kappa —> alpha takes place without any great changes in surface morphology. The alpha-Al<sub>2</sub>O<sub>3</sub> formed from kappa is fine-grained.

The kinetics behind the phase transformation metastable kappa-Al<sub>2</sub>O<sub>3</sub> to stable alpha-Al<sub>2</sub>O<sub>3</sub> is not clear. It should be noted, however, that only small re-arrangements of the close-packed oxygen layers, that are common to both structures, are needed to convert kappa- to alpha-Al<sub>2</sub>O<sub>3</sub>.

In the Swedish patent No. 406090 a method of making kappa-Al $_2$ O $_3$  has been proposed being based essentially on the fact that an addition of TiCl $_4$  to the gas mixture results in kappa-Al $_2$ O $_3$  formation. Any kappa-phase, formed under these conditions, having an epitaxial relationship with the layer below a thick Al $_2$ O $_3$ -layer has not been formed, however. ( A thick Al $_2$ O $_3$ -layer is more than 2  $\mu$ m Al $_2$ O $_3$ ).

The microstructure of an alpha-Al<sub>2</sub>O<sub>3</sub> coating initially nucleated as alpha-Al<sub>2</sub>O<sub>3</sub> is characterized by a grain size of about 0.5-5  $\mu$ m, preferably 0.5-2  $\mu$ m, and the presence of pores. Contrary to this a kappa-Al<sub>2</sub>O<sub>3</sub> coating is essentially pore-free and has a much smaller grain size of about 0.05-2  $\mu$ m, preferably 0.05-1  $\mu$ m, usually about 0.2-0.5  $\mu$ m.

It has now been found that poor adhesion between the  $Al_2O_3$ -layer and the underlying TiC-layer is obtained if the  $Al_2O_3$  in direct contact with the TiC-layer has the alpha- $Al_2O_3$  crystal structure at the time when it is nucleated. In such a case considerable porosity exists between the two layers. If kappa- or theta- $Al_2O_3$  on the other hand is in a direct contact with the TiC-layer the transition is pore-free and good adhesion is obtained. In said latter case a direct lattice relationship exists between the orientations of the  $Al_2O_3$ - and the TiC-layers, i.e. the  $Al_2O_3$ -layer grows epitaxially. The following relationships exist between TiC / kappa  $Al_2O_3$ :

(1  $\square$ 1) TiC // (0001) kappa-Al<sub>2</sub>O<sub>3</sub> [110] TiC // [10  $\square$ 0] kappa-Al<sub>2</sub>O<sub>3</sub> and between TiC / theta-Al<sub>2</sub>O<sub>3</sub>: (111) TiC // (310) theta-Al<sub>2</sub>O<sub>3</sub> [1  $\square$ 0] TiC // [001] theta-Al<sub>2</sub>O<sub>3</sub>

Both these can be interpreted as indicating that close = packed atom planes in the  $Al_2O_3$ - and TiC-phases are in contact and thus the adhesion across the interface between  $Al_2O_3$  and TiC will be maximized. Similar epitaxial relationships should also exist between  $Al_2O_3$  and metal systems related with TiC e.g. ZrC and for other carbides, carbonitrides, oxyritrides, oxycarbides, oxycarbonitrides and nitrides of metals in the groups IVB, VB and VIB of the Periodic Table and for B, Al and SI and should maximize adhesion between the phases on either side of the interface.

The process conditions governing the first nucleation event are thus of great importance. The oxidation state of the TiC-surface is decisive for the kind of nuclei which are to be formed. If the TiC-surface is (intentionally) oxidized only alpha-Al<sub>2</sub>O<sub>3</sub> is formed. Simple calculations show that the presence of water in H<sub>2</sub> carrier gas has a dramatic effect on the oxidation state. Already at 5 ppm water in H<sub>2</sub> at 1000  $^{\circ}$ C and 50 mbar the TiC surface oxidizes to Ti<sub>2</sub>O<sub>3</sub>. At higher water contents ( 20-30 ppm ) Ti<sub>3</sub>O<sub>5</sub> is formed. It should be observed that the formation of titanium oxide (Ti<sub>2</sub>O<sub>3</sub>, Ti<sub>3</sub>O<sub>5</sub>) involves a volume increase of 25-30 %. Also other oxygen containing compounds than water in the feed-gas can cause oxidation.

The water gas concentration in the reactor during  $Al_2O_3$  coating at typical conditions for good adhesion is very small and all water is used during the hydrolysis reaction with  $AlCl_3$ . For a typical reactor the water content is 0.01-0.1 ppm. The water concentration varies, however, greatly during the nucleation event and can locally reach 1000-2000ppm. It is thus possible to oxidize the TiC surface during the  $Al_2O_3$  nucleation stage.

Another contribution to the oxidation of the TiC-surface comes from the heterogenous decomposition of CO<sub>2</sub>, in which surface adsorbed mono-atomic oxygen can oxidize the TiC-surface.

It is surprising that such low-oxidizing conditions would be necessary to obtain a well-adherent oxide coating (Al<sub>2</sub>O<sub>3</sub>). Prior art even recommends the use of oxidized surfaces to form well-adherent dense Al<sub>2</sub>O<sub>3</sub>-coatings. (see e.g. U.S. Pats. No. 3,736,107, 3,837,896, 4,018,631 or 4,608,098).

It has thus been found that no well-defined lattice-orientation relationship exists between TiC and alpha-Al<sub>2</sub>O<sub>3</sub>, which has been nucleated on TiC from gas phase. Instead the TiC-Al<sub>2</sub>O<sub>3</sub> interface contains a great amount of porosity. It is therefore very probable that the nucleation of alpha-Al<sub>2</sub>O<sub>3</sub> does not take place on TiC but on a thin oxide film of e.g.  $Ti_2O_3$ ,  $Ti_3O_5$  or  $TiO_2$  what thus makes the formation of an epitaxial relationship with the underlaying TiC-surface impossible. However, because there is no trace of any intermediate layer of  $Ti_2O_3$ ,  $Ti_3O_5$  or  $TiO_2$  in the final product it must be assumed that a transformation to TiO, TiCO or TiC has happened during the relatively extended coating period. The volume contraction (25-30%) which accompanies the phase transformation  $Ti_3O_5$  or  $Ti_2O_3$  to TiO would explain the observed interfacial porosity.

Contrary to this, kappa-Al<sub>2</sub>O<sub>3</sub> or theta-Al<sub>2</sub>O<sub>3</sub> is nucleated directly on non-oxidized or relatively weakly oxidized (up to highest  $Ti_2O_3$ ) TiC-surfaces, which results in an epitaxial relationship between the TiC- and the Al<sub>2</sub>O<sub>3</sub>-layers and, as then, no reduction of  $Ti_2O_3$  etc to TiO occurs, thus in the absence of porosity.

The alpha- $Al_2O_3$  is, as mentioned, the stable structure and has a higher density than kappa- $Al_2O_3$ . If, during the nucleation event kappa- $Al_2O_3$  is formed then the resulting layer will have a more fine-grained and uniform structure than, in particular, a mixture of kappa- and alpha- $Al_2O_3$ . If only or almost only alpha- $Al_2O_3$  is obtained it takes place in connection with the reduction of higher titanium oxides (e.g.  $TiO_2$ ,  $Ti_3O_5$ ) and porosity will be formed.

Fig. 1 shows a "prior art" Al<sub>2</sub>O<sub>3</sub>-surface consisting of initially nucleated alpha- (coarse-grained) and kappa-(fine-grained) structure.

Fig. 2 shows the same microstructural feature, but after 4 hours' heat treatment, by which a microstructure of alpha-Al<sub>2</sub>O<sub>3</sub> of fine-grained type has been obtained (according to the invention) mixed with initially formed alpha-Al<sub>2</sub>O<sub>3</sub> ("prior art" structure).

According to the invention there is thus now available a body such as e.g. a cutting insert for chipforming machining coated with at least one layer of Al<sub>2</sub>O<sub>3</sub> + TiC in which the Al<sub>2</sub>O<sub>3</sub>-layer is in epitaxial contact with adjacent TiC-layer and consists of kappa- or theta-Al<sub>2</sub>O<sub>3</sub>. In general the Al<sub>2</sub>O<sub>3</sub>-layer consists of at least 90 %, preferably at least 98 % alpha-Al<sub>2</sub>O<sub>3</sub> which has been initially nucleated as kappa- or theta-Al<sub>2</sub>O<sub>3</sub> and which has been obtained by a subsequent heat treatment. By this measure a dense and wear resistant alpha-Al<sub>2</sub>O<sub>3</sub> is obtained which is fine-grained and has a good bond to the underlying TiC-, TiN- or TiO-layer. The fine grain size and the good bond are caused by the exellent nucleation properties of the initially nucleated kappa-Al<sub>2</sub>O<sub>3</sub>-layer. In said respect the invention can be described as alpha-Al<sub>2</sub>O<sub>3</sub> with

kappa-Al<sub>2</sub>O<sub>3</sub>-morphology. That is, the occurrence of alpha-Al<sub>2</sub>O<sub>3</sub> can only be verified by X-ray diffraction or similar methods.

The invention also relates to a method for obtaining  $Al_2O_3$ -layers with good adherence to the substrate (including the TiC, TiN etc interlayer). By said method the coating shall be performed so that oxidization of the surface of the TiC-layer is avoided at the transition to the  $Al_2O_3$ -coating process by keeping the water vapor concentration at the nucleation below 5 ppm, preferably below 0.5 ppm. In this context it should be noted that the interlayer must have a thickness and such properties that metals (e.g. Co) catalyzing the  $CO_2$  decomposition to oxygen and CO cannot penetrate the interlayer and cause excessive oxidation of the surface on which  $Al_2O_3$  is to be nucleated. The coated body can then alternatively be heat treated in 0.3-10, preferably 1-4 hours, at a temperature of 900-1000  $^{\circ}$  C preferably in a protective gas atmosphere.

With double layer is also meant known layers of current multitype inner as well as outer layers. Also when e.g. TiC or TiN is deposited on  $Al_2O_3$  the invention can be applied.

## 5 Example 1

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Cutting inserts were coated under the same conditions as in Example 1 in SE 357 984 by which a 3  $\mu m$  thick layer was obtained by suitably sparse packing. The water concentration was kept to such a low level before and during the Al<sub>2</sub>O<sub>3</sub>- nucleation that mainly kappa-Al<sub>2</sub>O<sub>3</sub> was nucleated in contact with TiC, cutting insert A.

The cutting inserts were then tested with respect to edge line flaking with the result given below:

(The flaking test was performed as a facing operation of a low carbon steel test piece with intermittent cuts obtained by preformed slits cut into the test piece. Since low carbon steels vary in composition and other properties the configuration of the slits and cutting data must be adjusted by performing preliminary tests enabling final best conditions to be obtained. The result of the cutting test is expressed as the ratio of flaking length of the edge line and the total edge line in the cutting operation.)

Test	% alpha-Al <sub>2</sub> O <sub>3</sub>	flaking
Α	15	70 (acc. to the inv.)
В	90	90 (known techn.)

Testing of the wear resistance showed that the variant B, in addition, had inferior face wear resistance and shorter life.

# Example 2

Cutting inserts coated under the same conditions as in Example 1 and in which the coating thus consisted essentially of about 3 µm kappa-Al<sub>2</sub>O<sub>3</sub> were heat treated at 1020 °C in a hydrogen gas atmosphere and the kappa-phase was succesively transformed to alpha-phase.

The inserts were then tested with respect to edge line flaking with results below:

Test	Heat treatment time, h	% alpha-Al <sub>2</sub> O <sub>3</sub>	flaking
C	8 2	100 35	65 50

Testing of the wear resistance showed that the variant D had inferior face wear resistance and the shortest life of the inserts C and D.

#### Example 3

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#### EP 0 403 461 A1

Cutting inserts were coated in accordance with Example 1 in SE 357 984 at which 1 µm Al₂O₃-layer was obtained by a dense packing of inserts and supporting details. The coated inserts had a high carbon content so that about 6 µm thick TiC-layer was formed. Inserts were taken out from different parts of the coating charge. One group of inserts had kappa-Al<sub>2</sub>O<sub>3</sub> in contact with the TiC-layer. These inserts showed in an intermittent turning test no tendency for the Al<sub>2</sub>O<sub>3</sub>-layers to "flake" from the TiC-layer. Another group of inserts with almost only alpha-Al<sub>2</sub>O<sub>3</sub> in contact with TIC flaked to about 45% of the surface exposed to the chip.

#### Claims

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- 1. Body coated with at least one double layer of Al<sub>2</sub>O<sub>3</sub> and TiC or related carbides, nitrides, carbonitrides or oxycarbonitrides characterized in, that the Al<sub>2</sub>O<sub>3</sub>-layer in contact with said carbide-, nitride-, carbonitride- or oxycarbonitride-layer consists of epitaxial kappa-Al<sub>2</sub>O<sub>3</sub> or theta-Al<sub>2</sub>O<sub>3</sub>.
- 2. Body according to claim 1 characterized in that the following phase relationship exists between TiC/kappa-Al<sub>2</sub>O<sub>3</sub>:

(1 T1) TiC // (0001) kappa-Al<sub>2</sub>O<sub>3</sub> [110] TiC // [10 T 0] kappa-Al<sub>2</sub>O<sub>3</sub>

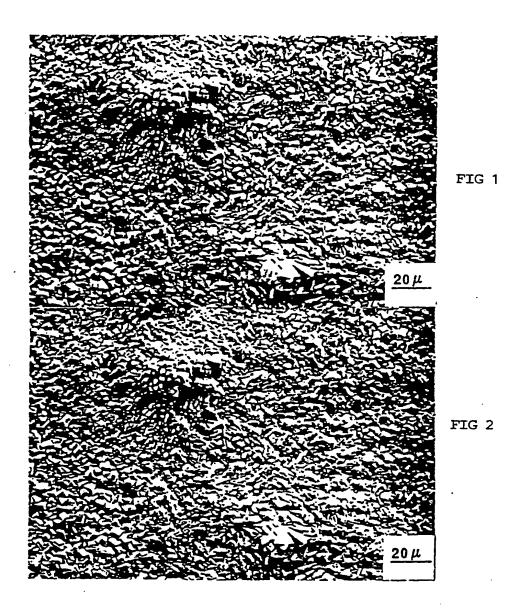
3. Body according to claim 1 characterized in, that the following phase relationship exists between TiC/theta-Al<sub>2</sub>O<sub>3</sub>:

(111) TiC // (310) theta-Al<sub>2</sub>O<sub>3</sub>

[1 T0] TiC // [001] theta-Al<sub>2</sub>O<sub>3</sub>

- 4. Body according to any of the preceding claims characterized in, that the Al<sub>2</sub>O<sub>3</sub>-layer consists of alpha-Al<sub>2</sub>O<sub>3</sub> with a grain size of < 1 μm, at which alpha-Al<sub>2</sub>O<sub>3</sub> has been formed from initially nucleated kappa- or theta- $Al_2O_3$ .
- 5. Method of coating a body with Al<sub>2</sub>O<sub>3</sub> characterized in, that the content of water vapour at the nucleation event is < 30 ppm, preferably < 5 ppm.
- 6. Method according to claim 5 characterized in, that the body after the coating is heat treated for 0.3-10 hours at 900-1100 °C in protecting gas atmosphere.

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# **EUROPEAN SEARCH REPORT**

90 85 0236

Category	Citation of document with i of relevant pa	ndication, where appropriate,	Relevant to claim	CLASSIFICA APPLICATIO	MON OF THE
Χ	EP-A-0 045 291 (SA * claims 1,5 *	NDVIK)	1	C 23 C C 23 C	
X	EP-A-0 032 887 (SA * example 1 *	NDVIK)	1,5		
Ρ,Χ	PATENT ABSTRACTS OF vol. 13, no. 290 (C 1989; & JP-A-183662 CORPORATION) 29.03.	-614)(3638), 5 July (KYOCERO	1		
X	US-A-4 180 400 (K. * claim 1 *; & SE-E	K.H. SMITH et al.) -406090 (cat. D)	1		
A	EP-A-0 083 842 (GE & US-A-4608098 (cat				
D,A	US-A-4 018 631 (T.	E. HALE)			
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D,A	US-A-3 736 107 (T.	E. HALE)		C 23 C C 23 C C 04 B	30/00
	The present search report has				
	Place of search	Date of completion of the se 04-09-1990		Examiner TEN W.G.	

RPO PORM 1503 03.52 (P0401)

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